

Designated/Elected Office (DO/EO/US)

FORM PTO-1390

10/088286

JCTUReCgFCI/PTU 15 MAR 2002

Docket No. : **HM-480PCT**  
U.S. Application No. :  
International Application No.: **PCT/EP00/09058** ✓  
International Filing Date. : **September 16, 2000** ✓  
Priority Date Claimed : **September 16, 1999** ✓  
Title of Invention : **DEVICE FOR RAISING AND WITHDRAWING A BACK-UP ROLL BEARING UNIT**  
Applicant(s) for (DO/EO/US) : **Karl-Friedrich Müller and Volker Kunze** ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed [35 U.S.C. 371(c)(2)].
  - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☐ has been transmitted by the international Bureau.
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C.371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C.371(c)(3)].
  - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☐ have been transmitted by the International Bureau.
  - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C.371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C.371(c)(4)]. **UNSIGNED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C.371(c)(5)].

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ (other items or information) Three sheets of drawings, PTO-1449 w/ 4 references and International Search Report

EXPRESS MAIL No.: EF 020 489 089 US Deposited: March 15, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.



Friedrich Kueffner

March 15, 2002

Date

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:

ENTER APPROPRIATE BASIC FEE AMOUNT: \$ 890.00

CALCULATIONS	PTO USE ONLY
\$ 890.00	

Claims	filed	Extra	Rate
Total Claims	6	-20=	x \$ 18 =
Indep. Claims	1	- 3=	x \$ 84 =
Multiple Dependent Claims (if applicable) + \$ 280. =			

**TOTAL OF ABOVE CALCULATIONS: \$ 890.00**

(divided by 2)

**SUBTOTAL: \$ 890.00**

TOTAL NATIONAL FEE: \$ 890.00

**TOTAL FEES ENCLOSED: \$ 890.00**

AMOUNT TO BE REFUNDED: Refunded \$

AMOUNT TO BE CHARGED:	Charged	\$
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- NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

signature

29,482  
Reg. No.

March 15, 2002  
Date